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PTO/SB/08A (10-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/503,960
Filing Date	February 14, 2000
First Named Inventor	Ratterman, et al.
Group Art Unit	2766
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P002

Sheet 1 of 7

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
RMB	3,581,072	Nymayer	5/25/1971	3/28/1968
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RMB	PCT WO 92/15174 2/25/1992	Beaumont-Maxin International	9/3/1992	
RMB	PCT WO 96/34356 4/26/1996	Fleanet, Inc.	10/31/1996	
RMB	PCT WO 97/37315 3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
RMB	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	Rebecca M. Bachner	Date Considered	5-6-02
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Sheet 2 of 7

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	Office or Country	Number	Date			
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1	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
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	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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Application Number 09/503,960
Filing Date February 14, 2000
First Named Inventor Ratterman et al.
Group Art Unit 2766
Examiner Name Not Yet Assigned
Attorney Docket Number 003801.P002

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Sheet 3 of 7

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	Office or Country Number Date			

OTHER DOCUMENTS

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RMB	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
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Date Considered

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Application Number	09/503,960
Filing Date	February 14, 2000
First Named Inventor	Ratterman et al
Group Art Unit	2766
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P002

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
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OTHER DOCUMENTS

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RMB	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
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	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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09/503,960

February 14, 2000

Ratterman et al

2766

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Attorney Docket Number

003801.P002

U.S. PATENT DOCUMENTS

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OTHER DOCUMENTS

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RMB	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electric Markets</u> , October 1993, No. 9/10	
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✓	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

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Sheet	6	of	7
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Application Number	09/503,960
Filing Date	February 11, 2000
First Named Inventor	Ratterman et al
Group Art Unit	2766
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P002

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FOREIGN PATENT DOCUMENTS							
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OTHER DOCUMENTS		
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RMB	✓ VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
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J	✓ TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

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RMB	5,926,794	Fethe	07/20/1999	03/06/1996
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RMB	5,922,074	Richard et al.	7/13/99	2/28/97
RMB	6,035,402	Vaeth et al.	3/7/2000	12/20/96
RMB	6,104,815	Alcorn et al.	8/15/2000	1/9/98
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	Office or Country	Number	Date			
RMB	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
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RMB	PCT	US00/32088	4/4/2001		11/20/2000	

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Sheet	12	of	2		
OTHER ART - NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
RMB		Ekstrom, Martin. A RATING SYSTEM FOR AEC e-BIDDING. PhD Dissertation. November 27, 2000 [downloaded from the internet 13.11.2001] from http://www.stanford.edu/~mekstrom/research/PhDQualifyingDocument.pdf . Pages 13-17, Fig. 2 page 17.			
RMB	✓	Patience, Nick. EPINIONS LAUNCHES ONLINE SHOPPING GUIDE BUILT ON TRUST. Computergram International. 10 Sept. 1999. Pages 1-2.			
RMB	✓	Nielsen, Jacob. REPUTATION MANAGERS ARE HAPPENING. Alertbox., 5 Sept., 1999, ✓			
RMB	✓	Epinions.com, What is the Web of Trust. Epinions.com FAQ sheet. Downloaded 11/13/2001 from the internet URL http://www.epinions.com/help/faq/?show=faqwot#001 .			

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RMB	5,884,056	Steele	3/16/99	12/28/95
	5,598,557	Doner et al.	1/28/97	9/22/92
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	5,803,500	Mossberg	9/8/98	3/27/97
	5,799,285	Klingman	8/25/98	8/30/96
	5,715,314	Payne et al.	2/3/98	10/24/94
	5,485,510	Colbert	1/16/96	9/1/94
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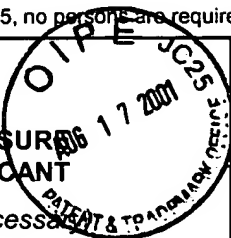
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Complete if Known

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First Named Inventor Ratterman et al
Group Art Unit 2766
Examiner Name Not Yet Assigned
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